CS 6212 DESIGN AND ANALYSIS OF ALGORITHMS

LECTURE: DATA STRUCTURES – PART I

Instructor: Abdou Youssef

Data Structures

OBJECTIVES OF THIS LECTURE

By the end of this lecture, you will be able to:

- Explain what a data structure is, and what it means to design a data structure
- Indicate when a data structure is needed, and specify the data structure that matches the situation
- Describe standard data structures such as *stacks*, *queues*, *singly/doubly linked lists*, and discuss and compare/contrast different implementations of them
- Define graphs, graph representations, and basic graph concepts
- Define trees, tree representations, and basic related concepts

OUTLINE

- Preliminaries
 - Definition of data structures
 - Design of data structure
 - When a data structure is needed in the context of algorithm design
- Overview of Stacks and Queues
- Records and Pointers
- Linked Lists
- Graphs
- Trees

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WHAT IS A DATA STRUCTURE

• A data structure is

- An organization of a data set
- Several <u>operations / actions</u> to be performed on the data set
- Examples of data organizations:
 - Arrays, tables (multidemensional arrays), lists, trees, etc
- Examples of operations/actions:
 - Insert (i.e., add) a new data element
 - Find a data element in the data set
 - Delete an existing element
 - Find the minimum, or the maximum, or the most recently added element

THE DESIGN PROCESS OF A DATA STRUCTURE

- Any design process is an input-output process
 - Input: the specifications ("specs") of what is to be designed
 - Output: A design that fulfills the specs
- Data structure design process
 - Input:
 - Specs of the data set: data type, and possibly data set size



A NOTE ABOUT DATA STRUCTURE DEFINITION AND DESIGN

• The specifications of some data structures include (partially or fully) the actual organization of the data

• Examples: linked lists, binary trees, heaps, etc.

• In such cases, we'll call (in this course) such data structures "data structures with *built-in* organization".

WHEN DO WE NEED A DATA STRUCTURE? -- IN THE CONTEXT OF ALGORITHM DESIGN --

• A brainstorming exercise

WHEN DO WE NEED A DATA STRUCTURE? -- IN THE CONTEXT OF ALGORITHM DESIGN --

- If, in an algorithm, one or more operations
 - Are called upon to execute many times on some set of data

- At that point, it may lead to more efficiency (better speed) if
 - That set of data and those operations are implemented by an efficient data structure

STACKS -- DEFINITION --

- A stack S is a data structure where
 - The data is of any kind (int, float/double, char, strings, etc.)
 - The operations
 - **Push**(S,*a*): inserts a new data element *a* into the structure
 - **Pop**(S): deletes (and returns) the most recently added data element
 - **Top**(S): returns (but does not delete) the most recently added data element
- The stack is a data structure that implements the "last in, first out" (LIFO) policy (aka, "last come, first serve")

STACKS -- IMPLEMENTATION--

 Data organization: Array S[1:n], and an index k to the next empty slot, or A linked list, with a pointer to the most recently added record 		Procedure Push(S,a) Time: O(1), which means constant begin constant S[k]=a; constant k++; // what if k==n+1? end
Function Pop(S) begin	Time:O(1)	Function Top(S) begin if (legel) these
return nul end if	l;	return null; else
k; return (S[k]);		return(S[k-1]); end if

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- A queue Q is a data structure where
 - The data is of any kind (int, float/double, char, strings, etc.)
 - The operations
 - **enqueue**(Q,a): inserts a new data element a into the structure
 - **dequeue** (Q): deletes (and returns) the oldest (i.e., least recently) added data element
- The queue is a data structure that implements the "first in, first out" (FIFO) policy (aka, "first come, first serve")

QUEUES -- IMPLEMENTATION---

Data organization:

Initially:tail=n; head=?

- Array S[1:n], an index head pointing to the oldest element in the queue, and an index tail pointing to the next empty slot where a new element will be added, OR
- A linked list, with two pointers head and tail

Procedure enqueue(Q,a)	Time: O(1)	Function dequeue(Q)	Time:O(1)
Q[tail]=a; tail; end	If tail==0, what happens?	head; return (Q[head+] end	If head==0, what happens?]);

- The array implementation has a lot of issues: Name them?
- Better implementations:
 - Circular arrays;

- Even better: Linked lists (Why?)

RECORDS AND POINTERS -- NEED TO EXPAND OUR SYNTAX--

- As we saw in stacks and queues, arrays are not always adequate for implementing data structures
 - Data structures are *dynamic*: they grow and shrink at <u>execution time</u>
 - Whereas arrays are *static* in size: their size is determined at *compile time*
 - Arrays are too simple to represent complicated data organizations such as arbitrary trees
- Therefore, we need to expand the syntax and language structure to allow for
 - Dynamic data structures that grow and shrink at execution without memory limitations
 - Allocation (and release) of memory (of user-defined data type) dynamically as needed
 - Addressing schemes for dynamically allocated memory
- Records and pointers are an important way for meetings those goals

RECORDS AND POINTERS -- **RECORD DEFINITION** --

- A record is an aggregate of several elements called *fields* (or *members*), where
 - each field is a variable of a standard type or of a record type
- Syntax (in our pseudo language), and an example of rec def+decl

Syntax for defining a record <u>type</u> : record name	Example: record employee	employee x; // creates memory for
begin	begin	an actual employee
field declaration;	char name[1:30];	record
	int SSN;	
field declaration;	char address[1:100];	employee y[1:10];
field declaration;	float salary;	// creates an array of
end	end	10 employee records

• In object-oriented programming (OOP), a record corresponds to a *class*

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RECORDS AND POINTERS -- POINTERS --

- A pointers is an address
 - Like a home address, specifying the location of a house
 - But in computers, it is simply an index (integer) to a memory location
- Note the important difference between an object and its address

Object	Address
A house: An actual physical structure with rooms, kitchen, doors, land, etc.	A couple of lines: 123 Main Street Washington, DC 20052
Record: employee x; // occupies a huge chunk of memory	An index: Single <u>integer</u> index of the <u>first byte</u> of x in memory

RECORDS AND POINTERS -- **POINTERS VISUALIZATION** --

RECORDS AND POINTERS

-- CONTRAST B/W REC DEF, REC DECL, AND POINTERS --

Type Definition	Declaration	Pointer
record employee begin	employee x;	Address of x;
char name[1:30]; int SSN; char address[1:100]; float salary; end	// occupies a big chunk of memory	<pre>// Single integer index of the first byte of x in memory</pre>
Record definition is like a Blueprint	A physical object matching the blue print	The index of the of the 1^{st} byte of the physical record in memory
		123 Main Street Washington, DC 20052 USA

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ACCESS TO RECORDS AND THEIR FIELDS

- Syntax: if X is a record and F is a field in X, we access F using the dot syntax
 - X.F accesses the field F of record X
 - employee x; // allocates empty memory for x x.name="John Smith"; // fill in field "name" of x x.SSN=123456789; // fill in field "SSN" of x x.salary=50000; // fill in field "salary" of x x.address="123 main St\n DC, USA";

• Examples:

Example:

- Overwrite the SSN field of x with value 124555678: x.SSN = 124555678;
- Change the salary value (of \$100,000) to x:
- Give x a raise of \$5000:
- Read the SSN of x and assign that value to a new var: int S=x.SSN;
- x.salary=100000;
- x.salary = x.salary+5000;

USING ADDRESSES OF RECORDS

- Suppose x is a declared employee record
- To get the address of x:
 - 1. Declare a variable p of type "employee address", such as any of:
 - employee * p; employeePointer p; employeePtr p; employeeAdr p;
 - 2. Assign to p the address of x
 - p=&x;
 - But it is OK to write
 - p=x; or p=address(x); or anything that says that p is the address of x
- Accessing the fields using the record address (use the arrow or dot syntax)
 - p -> salary; but still OK to write p.salary;

CREATING RECORDS DYNAMICALLY -- WHY --

- In many algorithmic situations
 - Data are added and deleted during execution
 - The number of additions/deletions vary from execution to execution (i.e., from input to input)
 - The maximum size of the data (structures) may not be known ahead of time
 - For example, we may not know how big a queue or a stack will grow
- Therefore, we need a mechanism that
 - Allows us to create (reserve) memory dynamically (i.e., during execution), such as allocation of new records of a certain pre-defined type, as needed during execution
 - Manipulate (i.e., read and write) the dynamically created records

CREATING RECORDS DYNAMICALLY -- HOW--

- Use **new** to create a record of a predefined type, returning the address of the allocated memory
 - Syntax: recordPtr p=**new**(predefined-record-type)
 - Example: employeePtr p=new(employee);
 - Details:
 - **new** is a call to he OS to find+reserve a free chunk of memory large enough to hold a full record
 - Once done, the OS returns to the calling the address of the allocated record
 - Ex: After "employeePtr p=new(employee);" is done, p has the address of the allocated record
 - The allocated record is empty
 - You can now fill in the individual fields with data of your own

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Example:
employeePtr p = new (employee);
p.name="John Smith";
p.SSN=312959876;
p.salary=200000;
p.address="345 Maple Street\n Ontario";
p.next=null; // assumed null by default
```

SELF-REFERENTIAL RECORDS

- A self-referential record is a record where at least one of the fields is of type "pointer to a record of the same type"
- Example: modify the employee record so it has

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Define a record type employee:

record employee

begin

char name[1:30];

int SSN;

char address[1:100];

float salary;

employeePtr next; // a new field

end
```



- A singly linked list is data structure (with built-in organization) where:
 - The organization is a sequence of self-referential records
 - Every record has a field that points to the next record in the sequence
 - Records usually hold data field(s), as pre-defined by the user
 - A pointer *Pstart* that points to the first record is part of the data structure
 - Optionally, a pointer *Pend* that points to the end-record of the list is included
 - The operations are (typically): **insert**(...), **find**(...), **delete**(...)
- Useful in many situations (where navigation can be done in one direction), including the implementation of unlimited stacks and queues

LINKED LISTS -- SPECS OF SINGLY LINKED LIST OPERATIONS --

- **Find**(L, key): finds a record in the list, whose uniquely identifying "key" field has the specified value, and returns the address of that record if found, null otherwise
- **Find**(L, int k): returns the address of the kth record in the list (or null if k is larger than the size of list)
- **Insert**(L, a): dynamically creates a new record, adds the data 'a" to the data field(s) of the record, and put the record at the end (or start) of the list
- **Insert**(L, a,k): like above, except the new record is inserted as the k^{th} record in the list (if k is non-negative value \leq the size of the list)
- **Delete**(L, p), **Delete**(L,key): delete the record whose address is p or whose key (uniquely identifying value) is the specified value, and "smooth out" the gap

LINKED LISTS -- AN EXAMPLE OF SINGLY LINKED LISTS --

• Create a new (simple) record type (call it simpleRec), and then create a list:



LINKED LISTS

-- IMPLEMENTATION OF SINGLY LINKED LIST OPS --

Function Find(L,a)		Procedure Insert(L,a) // inserts at the sta	art
Begin	Time:O(L)	Begin	Time $O(1)$
Pointer p=Pstart of L;		Pointer p=new(record-type);	11111C: O(1)
While(p!=null && p.key	r!=a) do	p.data=a;	
p=p.next;		p.next=Pstart of L;	
End while		Pstart = p;	
Return p;		// the newly created record is the new	v start
End Find		End Insert	
Procedure Delete(L,a) Begin Pointer p=Pstart of list Pointer q; // one step b While(p!=null && p.key	Time:O(L) L; ehind p y!=a) do	<pre>// continue Delete (L,a) here If (p==Pstart) then // lst record to b Pstart=Pstart.next; Else q.next=p.next; // bypass record</pre>	pe deleted
q=p; p=p.next;		End if	
End while		release(p);	
// if record is not found		// optional, frees memory of deleted a	record
If (p==null) then retur	n; end if	End	

LINKED LISTS -- DOUBLY LINKED LISTS --

• Like singly linked lists except that each record has a field that a field that points to the next record and another field that points to the previous record



CHECK YOUR UNDERSTANDING: QUIZ

- Time to search in a doubly linked list: a. $O(|L|^2)$, b. O(|L|), c. O(1)
- Time to delete in a doubly linked list: a. $O(|L|^2)$, b. O(|L|), c. O(1)
- Space complexity of a singly linked list: a. O(|L|), b. O(1), c. $O(\log |L|)$
- Both singly linked lists and doubly linked lists have the same Big-O space complexity: a. YES, b. NO
- Array implementation of stacks and queues can run out of memory (assuming you computer has infinite RAM):: a.YES, b.NO
- Linked-list implementation of stacks and queues can run out of memory (assuming your computer has "infinite" RAM): a. YES, b. NO

GRAPHS

- Definition: A graph G=(V,E) consists of a finite set V, whose elements are called nodes, and a set E, which is a subset of V x V. The elements of E are called edges.
- Directed vs. undirected graphs:
 - If the directions of the edges are of significance, that is, (x,y) is different from (y,x), the graph is called a *directed graph* (or *digraph*).
 - Otherwise, the graph is called *undirected*
- Weighted (di)graph: It is a (di)graph where every edge has a associated with it a number called its weight.

GRAPH EXAMPLE

• $V = \{1, 2, 3, ..., 16\}, E = \{(1, 2), (1, 3), (2, 3), (3, 4), (4, 5), (5, 6), (6, 3), ...\}$



WHAT CAN GRAPHS REPRESENT

- A graph can represent a map
 - The nodes are points of interest (countries, cities, homes, etc.)
 - The edges are transportation lines (e.g., roads, train tracks, etc.)
 - If undirected, the edges are two-way streets; if directed, 1-way St.
- A graph can represent a computer/communication network
 - The nodes are computers/switches
 - The edges are communication lines
 - If undirected, the links are bidirectional; id directed, unidirectional
- A graph can represent a type of relation between entities
 - The nodes are entities/objects/concepts
 - The edges are designated relations between the entities (e.g., friend of, spouse of, boss of, acquaintance of, generalization of, special case of, etc.)

GRAPHS CONCEPTS

- Adjacency:
 - If (x,y) is an edge, then x is said to be <u>adjacent to</u> y, and y is <u>adjacent from</u> x.
 - In undirected graphs, if (x,y) is an edge, we just say that x and y are adjacent (or x is adjacent to y, or y is adjacent to x). Also, we say that





GRAPHS CONCEPTS -- ADJACENCY, INCIDENCE, DEGREE --

Undirected Graphs	Directed Graphs (Digraphs)
Adjacency : If (x,y) is an edge, then x is said to be <u>adjacent to</u> y, and y is <u>adjacent from</u> x. We can also say that x and y are adjacent, and x and y are neighbors	Adjacency : If (x,y) is an edge, then x is said to be <u>adjacent to</u> y, and y is <u>adjacent from</u> x.
Degree: The degree of a node x is the number of neighbors of x.	Indegree: the indegree (fan-in) of a node x is the number of nodes adjacent to x, i.e., the number of edges coming to x Outdegree: the outdegree (fan-out) of x is the number of node adjacent from x, i.e., number of edges leaving x.

Incidence: An edge e=(x,y) is said to be <u>incident to</u> y and <u>incident from</u> x

GRAPHS CONCEPTS -- PATHS, CYCLES, DISTANCE --

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Directed Graphs (Digraphs)

Path: A path from a node x to a node y is a sequence of nodes $x, x_1, x_2, ..., x_n, y$ such that x is adjacent to x_1, x_1 is adjacent to $x_2, ...,$ and x_n is adjacent to y. Note: A path can go through a node multiple times. **A simple path**: It is a path where no node repeats.

Path length: The length of a path is the number of its edges (not number of nodes), if the graph is unweighted. If the graph is weighted, the length of a path is the sum of the weights of its edges.

Distance: the <u>distance</u> from a node x to a node y in a (di)graph is the length of the <u>shortest</u> path from x to y.

Cycle: A cycle is a path that begins and ends at the same node.

GRAPHS CONCEPTS -- PATHS, CYCLES, DISTANCE --



GRAPHS CONCEPTS

-- CONNECTIVITY--

Undirected Graphs	Directed Graphs (Digraphs)
Connected: a graph is connected if for every pair of nodes there is at least one path between them.	Strongly Connected : a digraph is strongly connected if there is at least one path from every node x to every node y
Disconnected : a graph is disconnected if it is not connected	Weakly connected : a digraph is weakly connected if the underlying undirected graph (derived by ignoring the edge directions) is connected.
Connected component (of a graph G): It is any maximal connected subgraph of G. A subgraph of G is a subset of nodes with all their edges inherited from G. Maximal : If any other node from G is added (along with its incident edges) to the subgraph, the latter becomes disconnected	Strongly connected component : It is any maximal strongly connected subgraph of G

GRAPH REPRESENTATIONS

- There are two standard representations of (di)graphs
 - Adjacency matrix
 - Adjacency lists
- Let G=(V,E) be a (di)graph where $V=\{1,2,...,n\}$
- Adjacency Matrix: An $n \times n$ matrix A[1:n, 1:n] where

$$A[i,j] = \begin{cases} 1 \text{ if } (i,j) \in E, \text{ that is, } (i,j) \text{ is an edge} \\ 0 \text{ if } (i,j) \notin E, \text{ that is, } (i,j) \text{ is not an edge} \end{cases}$$

• If the (di)graph is weighted, the matrix becomes weight matrix W[1:n,1:n]:

$$W[i, j] = \begin{cases} \text{weight of edge } (i, j) \text{ if } (i, j) \text{ is an edge} \\ \infty \text{ if } (i, j) \text{ is not an edge} \end{cases}$$

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GRAPH REPRESENTATIONS -- **ADJACENCY LISTS** --

- Let G=(V,E) be a (di)graph where $V=\{1,2,...,n\}$
- Adjacency Lists representation:
 - An array A[1:n] of pointers to linked lists, where
 - ∀ nodes *i*, *A*[*i*] is pointer to the start of a linked list containing all the nodes adjacent from node *i*
 - The order of the records (one record per node) in each list is arbitrary
 - One convenient order: increasing order



TREES

- **Definition:** A *tree* is an undirected, connected, acyclic graph
 - In a tree, there is exactly one simple path between every pair of nodes

• **Definition**: A *rooted tree* is a tree where one node is designated as root.



- Hierarchical layout of a rooted tree:
 - By holding a rooted tree at its root, and letting the other nodes descend from it, we get a hierarchical structure.
 - Note that there is exactly one path from the root to any node

TREE CONCEPTS -- PARENTS, CHILDREN, ANCESTORS, DESCENDANTS --

- Let T be a rooted tree rooted at node r
- Every node x (other than r) hangs down from a single node, called *parent* of x
- The nodes adjacent to x and hang down from it are called *children* of x
- A *leaf* is a node that has no children.
- An *internal node* is any non-leaf

- The *ancestors* of x are the nodes on the path from x to r, including x and r
- The *proper ancestors* of x are all the ancestors of x except x itself
- The *descendants* of x are: x, its x, their children, and so on all the way down
- The *proper descendants* of x are all the descendants of x except x itself

TREE CONCEPTS -- SUBTREES, DEPTH, HEIGHT --

- Let T be a rooted tree rooted at node r
- *Subtree*: the subtree rooted at x is the tree consisting of x and all its descendants



- The *depth* of node x is the distance from the root r to node x
- The *height* of x is the distance from x to the farthest descendant of x
- The *height* (or *depth*) of the tree is the height of the root

TREE CONCEPTS -- LEVELS --

- Let T be a rooted tree rooted at node r, in a top-down hierarchical layout
- The nodes clearly partition into levels:
 - The top level contains just the root, and it is labeled level 0
 - The next level, labeled level 1, contains the children of the root
 - The level after that, labeled level 2, contains the grandchildren of the root
 - and so on.



- Observation: The *depth* of node x is the label of its level
- The *height* (or *depth*) of the tree is the label of its lowest level

CHECK YOUR UNDERSTANDING: QUIZ

- In a rooted tree, the depth of x = height of x: Yes or No?
- In a rooted tree, the depth of the tree = height of x the tree: Yes or No?
- In a rooted tree, <u>not</u> laid out in a top-down hierarchy:
 - A leaf is: (a) Any node of degree 1; (b) the root if it is of degree 0, and any node of degree 1 other than the root; (c) Any node of degree of degree 0
 - Depth of x is: (a) distance from root to x, (b) index of x, (c) distance from x is a leaf descendant of x
 - Height of x is: (a) distance from root to x, (b) distance from x is a closest leaf reachable from of x, (c) distance from x is a farthest leaf reachable from x
 - Depth of the tree is: (a) the radius of the graph from the root (i.e., largest distance from r to any node), (b) the distance from r to the closest leaf

BINARY TREES

- **Definition**: A binary tree is a rooted tree where every node has at most <u>two</u> children
- For convenience, the children of each node are designated as *left child* and *right child*
- A node can have 2 children, a left child only, a right child only, on none



- Representation: Every node can be represented as a record of at least three fields
 - Data (could be one or more fields storing data)
 - Left (a pointer pointing to the left child, or null if there is no left child)
 - **Right** (a pointer pointing to the right child , or null if there is no right child)
 - **Parent** (Optional, pointing to the parent node)

BINARY TREES -- SPECIAL CASES --

- **Definition**: A *perfect binary tree* is a binary tree where every non-leaf has two children and all the leaves are at the same level
- Exercise: Show that the number of nodes in level i of a perfect binary tree is 2ⁱ. Show also that a perfect binary tree of height h has 2^{h+1} - 1 nodes
- The **canonical labeling of a perfect binary tree**: It is a labeling of the nodes from top to bottom, left to right, starting with the root being labeled 1
- **Definition**: An *almost complete binary tree* of n nodes is the binary tree consisting of the <u>first n nodes</u> of a <u>perfect binary tree</u>

BINARY TREES -- SPECIAL CASES --



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BINARY TREES

-- PERFECT/ALMOST COMPLETE BINARY TREES--

- **Observation**: In a canonically labeled perfect/almost complete binary tree:
 - The labels of the children of node i are 2i and 2i+1
 - The label of the parent of i is $\lfloor \frac{i}{2} \rfloor$ (integer division of i by 2).
- Observations about almost complete binary trees:
 - If the bottom level is removed, the tree becomes a perfect binary tree.
 - The nodes in the bottom level are packed to the left end of the tree without any "holes"
- Array implementation of **almost complete binary tree (**of n nodes): An array A[1:n] where A[i] stores the data of node labeled i.

K-ARY TREES -- EXTENSIONS OF BINARY TREES --

- Definition: Given a positive integer k>1, a k-ary tree is a rooted tree where every nose has at most k childen
 - Special case: When k=3, the tree is called a *ternary tree*
 - Caution: A 4-ary tree is not called quad-tree. Rather a *quadtree* is a tree where every internal node has **<u>exactly</u>** (rather than *at most*) 4 children.
- **Definition**: A perfect k-ary tree is a k-ary tree where internal node has exactly k children, and all the leaves are at the same (bottom) level
- Exercises:
 - 1. Think of a definition of almost complete k-ary trees
 - 2. Think of canonical labeling of perfect /almost complete k-ary trees
 - 3. Think if and how perfect / almost complete k-ary trees can be implemented with arrays

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